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TECH CENTER 1600/2900

Our Case No.: 10466/259

N THE UNITED STATES PATENT AND TRADEMARK OFFICERECEIVED

In re Application of:

Ashkenazi et al.

Serial No.: 09/989,735

Filing Date: November 19, 2001

For:

SECRETED AND

TRANSMEMBRANE

POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

JUN 0 6 2002

Examiner: To be assigned TECH CENTER 1600/2900

Group Art Unit No.: 1646

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

The references now cited are the following:

## **OTHER ART**

- 1. Blast Results A1-A5, GenBank
- 2. Blast Results, B1, Dayhoff

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

Paul E. Rauch, Ph.D. Registration No. 38,591

Attorney for Applicant(s)

**BRINKS HOFER GILSON & LIONE** 

P.O. Box 10395 Chicago, IL 60610 (312) 321-4200

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TECH CENTER 1600/2900
SERIAL NO. CASE NO.

09/989,735

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

FORM PTO-1449

FILING DATE November 19, 2001 10466/259 GROUP ART UNIT 1646

(use several sheets if necessary) APPLICANT(S): Ashkenazi et al.

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	RECE	1/
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**FOREIGN PATENT DOCUMENTS** 

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANS	LATION NO
INITIAL	HOMBEK	DAIL		CODSLAGO		

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)			
	A1	Blast Results A1-A5, GenBank		
	A2	Blast Results, B1, Dayhoff		

MANAGE A A STATEMENT	DATE CONCIDENCE
EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Filin	g Date: November 19, 2001	) Group Art Unit No. 1646 )				
For	SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME	)				
	RELEVANT PRIC	RIT	TY DOCUMENT	$\mathbf{S}$		
	missioner for Patents nington, D.C. 20231					
Dear	Sir:					
The f	following priority documents are relevant to	the	presently claimed in	evention:		
	1. 60/089,948; filed 6/19/1998					
	2. PCT/US99/12252;filed 6/2/1999					
	3. 09/380,137; filed 8/25/1999					
	4. PCT/US00/05004; filed 2/24/2000					

5. PCT/US00/08439; filed 3/30/2000

6. 09/709,238;

filed 11/8/2000

7. 09/941,992;

filed 8/28/2001

Respectfully submitted,

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Registration No. 38,591

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